



THE SPECIALIST IN TRADING & INVESTMENT

About Saxo Bank

The Saxo Bank Group is a leading investment banking and technology company looking back at over two decades of growth and success. Saxo Bank strives to facilitate multi-asset online investment and trading for private and institutional clients by providing access to global financial markets, cutting-edge technologies, and industry-leading expertise. The Saxo Bank Group is headquartered in Copenhagen with offices throughout Europe, Asia, Middle East and Australia. For our fully licensed Bank in Switzerland, with offices in Zurich and Geneva, we are looking for a

Head of Compliance

Duties and Responsibilities

- Lead the Compliance organization
- Establish and continuously improve a consistent operating framework for the identification, management, monitoring and reporting of Compliance risks and issues
- Develop and implement the compliance governance framework
- Conduct analysis and clarifications as well as preparation of risk assessments
- Responsible for the review, challenge and roll-out of Compliance Policies and standards
- Develop and conduct an appropriate and effective training strategy
- Determine the impact of current and new legislation, rules and regulations on the bank, its projects and products
- Strengthen the good contact with the relevant authorities
- Provide efficient and effective advice and guidance to business units and management on all compliance issues
- Promote a compliance culture throughout the bank
- Ensure that internal policies are aligned with current and/or proposed legislation, rules and regulations
- Monitor that policies are applied consistently across business units
- Ensure local and group reporting
- Support platform and product initiatives from a compliance perspective

Your profile

- Master of law or equivalent (qualification as attorney is an asset)
- Several years of experience in a senior role in the compliance area of a financial institution preferably including a combination of banking, regulatory, consultancy/professional
- Leadership experience and management skills with the ability to make and take calculated decisions, to think forward and act accordingly
- Ability to communicate effectively, verbally and in writing, to clearly express logically reasoned ideas
- Ability to think clearly and assess matters quickly and to solve problems
- Affinity to new technologies and digital business processes
- Fluency in German and English (French a plus)

If you want to become part of a very team-oriented, flat, agile and dynamic organization where your contribution will be recognized and rewarded, we kindly ask you to submit your complete application documents online in PDF to: hr@albrecht-partner.ch, Katharina Albrecht, + 41 44 210 36 20.

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